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EXPRESS MAIL NO. EV 432 689 525 US



PATENT APPLICATION  
Docket No: 15436.250.24.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)	
		)	
	Darin J. Douma, et al.	)	
		)	
Serial No.:	10/678,685	)	Art Unit
		)	2872
Confirmation No.:	9973	)	
		)	
Filed:	October 3, 2003	)	
		)	
For:	CIRCUIT FOR CONVERTING A	)	
	TRANSPONDER CONTROLLER CHIP OUTPUT	)	
	INTO AN APPROPRIATE INPUT SIGNAL FOR	)	
	A HOST DEVICE	)	
		)	
Examiner:	Unknown	)	

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

Statement of Relevance of References Listed  
Unaccompanied by English Translation

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

DATED August 9, 2004.

Respectfully submitted,



R. BURNS ISRAELSEN  
Attorney for Applicant  
Registration No. 42,685  
Customer No. 022913

Applicant: Darin J. Douma, et al.

Serial No.: 10/678,685

Att'y Docket No.: 15436.250.24.1

Filing Date: October 3, 2003

Group: 2872

For: CIRCUIT FOR CONVERTING A TRANSPONDER CONTROLLER  
CHIP OUTPUT INTO AN APPROPRIATE INPUT SIGNAL FOR A  
HOST DEVICEINFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
___ 1	6,305,848	October 23, 2001	Gregory
___ 2	6,307,659	October 23, 2001	Gilliland, et al.
___ 3	6,320,686	November 20, 2001	Schairer
___ 4	6,335,869	January 1, 2002	Branch, et al.
___ 5	6,347,954	February 19, 2002	Jones, et al.
___ 6	6,350,063	February 26, 2002	Gilliland, et al.

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
------------------------------	----------------------------	-----------------------------	-------------------------------------

NONE

Other Documents

(including author, title, pertinent pages, etc.)

Examiner  
Initial\*

NONE

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Sheet 2 of 2

Applicant: Darin J. Douma, et al  
Serial No.: 10/678,685  
Filing Date: October 3, 2003  
For:



Att'y Docket No.: 15436.250.24.1  
Group: 2872

CIRCUIT FOR CONVERTING A TRANSPONDER CONTROLLER  
CHIP OUTPUT INTO AN APPROPRIATE INPUT SIGNAL FOR A  
HOST DEVICE

**References Cited by Applicants**

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

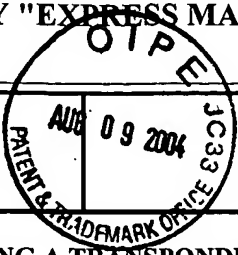
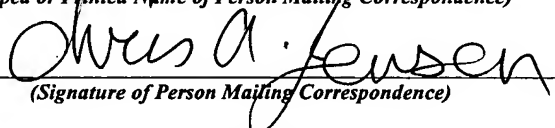
The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

CAJ0000000260V001

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): <b>Darin J. Douma, et al.</b>				Docket No. <b>15436.250.24.1</b>	
Application No. <b>10/678,685</b>	Filing Date <b>10/03/03</b>		Examiner <b>Unknown</b>	Customer No. <b>022913</b>	Group Art Unit <b>2872</b>
Invention: <b>CIRCUIT FOR CONVERTING A TRANSPONDER CONTROLLER CHIP INTO AN APPROPRIATE INPUT SIGNAL FOR A HOST DEVICE</b>					
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; margin: 10px 0;"> <b>Transmittal Letter (2 pgs, in triplicate); Information Disclosure Statement (2 pgs); Form PTO-1449 Listing all Cited References (2 pgs); postcard; and Certificate of Express Mailing Label No. EV 432 689 525 US</b> </div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <div style="text-align: center; margin: 10px 0;"> <u>August 9<sup>th</sup>, 2004</u>  <i>(Date)</i> </div> <div style="text-align: center; margin: 10px 0;"> <u>Chris A. Jensen</u>  <i>(Typed or Printed Name of Person Mailing Correspondence)</i> </div> <div style="text-align: center; margin: 10px 0;">   <i>(Signature of Person Mailing Correspondence)</i> </div> <div style="text-align: center; margin: 10px 0;"> <u>EV 432 689 525 US</u>  <i>("Express Mail" Mailing Label Number)</i> </div>					
<b>Note: Each paper must have its own certificate of mailing.</b>					

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.  
15436.250.24.1

In Re Application Of: Darin J. Douma, et al



Application No.

Filing Date

Examiner

Customer No.

Group Art Unit

Confirmation No.

10/678,685

10/03/03

Unknown

022913

2872

9973

Title: **CIRCUIT FOR CONVERTING A TRANSPONDER CONTROLLER CHIP OUTPUT INTO  
AN APPROPRIATE INPUT SIGNAL FOR A HOST DEVICE**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15436.250.24.1

In Re Application: **Darin J. Douma, et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/678,685	10/03/03	Unknown	022913	2872	9973

**CIRCUIT FOR CONVERTING A TRANSPONDER CONTROLLER CHIP OUTPUT INTO  
AN APPROPRIATE INPUT SIGNAL FOR A HOST DEVICE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **23-3178**  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

\_\_\_\_\_  
(Date)

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Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on August 9, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Chris A. Jensen*  
Signature of Person Mailing Correspondence

Chris A. Jensen

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

*R. Burns Israel*  
Signature

Dated: August 9, 2004.

**R. BURNS ISRAELSEN**  
Attorney for Applicant  
Registration No. 42,685  
Customer No. 022913

cc: